

Validation Error Codes

File Error Codes:

Error Code	Error Source	Error Code	Error Source
<i>F01</i>	Non-numeric record counts reported on 1A summary record.	<i>F18</i>	No File Summary (9Z) Record on file.
<i>F02</i>	Number or records reported does not match the computer count for the 2A record or 2A record is not the second record on the file.	<i>F19</i>	Branch summary amounts do not equal total of transaction amounts.
<i>F03</i>	Number of records reported does not match the computer count for the 2B record or 2B record is not the third record on the file.	<i>F20</i>	File summary amounts do not equal total of transaction amounts.
<i>F04</i>	Number of records reported does not match the computer count for the 3A record, or 3A is not the fourth record on the file or the 3A record has no corresponding 5A record.	<i>F21</i>	No FI Summary (9B) Record on file
<i>F08</i>	Number of records reported does not match the computer count 3E records.	<i>F22</i>	FI summary amounts do not equal total of transaction amounts.
<i>F09</i>	Number of records reported does not match computer count for 4A records.	<i>F23</i>	Number of records reported does not match computer count for 9E records.
<i>F10</i>	Number of records reported does not match computer count for 5A records.		
<i>F16</i>	No Transmitter (1A) Record on file.		
<i>F17</i>	No Branch Summary (9A) Record on file or a 9A record is found with no prior 3A record.		

Transmitter (1A) Record Error Codes:		Financial Institution (2A & 2B) Record Error Codes:	
Error Code	Error Source	Error Code	Error Source
T01	Transmitter Name is blank.	001	FI Name is blank or contains leading spaces.
T02	Transmitter Address is blank.	002	FI Address is blank.
T03	Transmitter City is blank.	003	FI City is blank.
T04	Transmitter State is blank.	004	FI State is blank.
T05	Transmitter Zip Code is blank.	007	FI Zip-Code is blank, zeroes, not numeric, or invalid.
T06	Transmitter Area Code and/or Telephone Number is blank.	008	FI Federal Regulator or BSA examiner code is blank or invalid.
T07	Transmitter Contact Name is blank.	010	Name of Approving Official is blank.
T08	Transmitter EIN is blank or invalid.	011	Title of Approving Official is blank.
T09	Coverage beginning date is blank or invalid.	012	FI EIN/SSN is missing, all zeros, all 9's, invalid or non numeric .
T10	Coverage ending date is blank or invalid.	013	FI MICR Number is not numeric, blank, or zeroes.
T11	Coverage ending date is more than 25 days from coverage beginning date. (Information only)	014	FI Resolution Code is invalid or missing.
T12	Transmitter Control Code is blank or invalid.		

Currency Transaction (3A)

Record Error Codes:

Error Code	Error Source	Error Code	Error Source
015	FI TCC is blank or invalid.	034	Number of owners is not numeric.
021	Type of transaction is blank or contains codes other than 1 through 7.	035	Number of owners value does not equal the number of Owner Records present.
023	Amounts greater than 20 million will generate this error code as information. Please verify the cash in or cash out amount.	036	Number of Customer Account Records is not numeric.
024	Date of transaction is invalid. a. Date not numeric. b. Month not a valid code 01 - 12. c. Day not a valid code 01-31. d. Date not less than current date.	037	Number of customer accounts value does not equal the number of Customer Account Records present.
025	Contact name is missing.	038	Invalid Document Control Number Returned. (CTR not updated)
026	Contact phone number is missing.	039	Missing Account Number for Transaction types of Deposit or Withdrawl.
028	Preparer name is missing.	040	Reason Part I Section B incomplete is spaces but no Transactor Record is present.
029	Preparer title is missing.		
030	Number of transactors is not numeric.		
031	Number of transactors is numeric and no Transactor Records are present.		
032	Number of transactors is numeric but the value does not equal the number of Transactor Records present.		

Customer Account (3E) Record Error Codes:		Transactor (4A) Record Error Codes:	
Error Code	Error Source	Error Code	Error Source
083	Number of customer accounts not numeric.	091	Transactor Name is invalid.
084	Number of customer accounts does not match number of accounts listed.	092	Transactor Number and street is blank.
NOTE: For multiple 3E records, other than the first occurrence, the error code(s) generated will reflect the occurrence number in the high order digit of the error code(s) (i.e. error 283 indicates the second 3E record has an error 083).		093	Transactor City is blank.
		094	Transactor State is blank.
		095	Transactor Country is US, CA, or MX but state is not a standard abbreviation.
		096	Transactor Country is not a valid country abbreviation.
		097	Transactor Zip-Code is blank, zeroes, or invalid and the Country is US.
		098	Transactor SSN is spaces, zeros or non-numeric.
		100	Transactor Method of identification is not a valid code.
		101	Transactor Method of identification issued by is not a valid code.
		102	Transactor Address is missing.
		103	Transactor Date of birth is missing or invalid.
		104	Transactor SSN is not valid according to our files.

Transactor (4A) Record Error Codes - (continued)		Owner (5A) Record Error Codes:	
Error Code	Error Source	Error Code	Error Source
105	Transactor SSN does not match our file as a valid SSN for the name shown.	111	Owner Name is invalid. a. Blank, b. not in proper format, c. contains no slashes for individual.
NOTE: For multiple 4A records, other than the first occurrence, the error code(s) generated will reflect the occurrence number in the high order digit of the error code(s) (i.e. error 291 indicates the second 4A record has an error 091).		112	Owner Number and street is blank.
NOTE: For multiple 4A records, other than the first occurrence, the error code(s) generated will reflect the occurrence number in the high order digit of the error code(s) (i.e. error 291 indicates the second 4A record has an error 091).		113	Owner City is blank.
Error Codes 104 and 105 are warning messages only. The following message is printed on our paper correspondence for Error Codes 104, 105, 128 & 129:		114	Owner State is blank.
“The following error reflects a possible mismatch between the name reported and the corresponding SSN/EIN. We would greatly appreciate any assistance you can provide to verify that the name and number are correct”.		115	Owner Country is US, CA, or MX but state is not a standard abbreviation.
		116	Owner Country is not a valid country abbreviation.
		117	Owner Zip-Code is blank, zeroes, or invalid and Country Code is US.
		119	Owner Identifying Number Record is invalid. Identifying number is zeros, all 9's, invalid or non-numeric.
		120	Owner Business occupation or profession is missing.
		122	Owner Address contains punctuation.
		125	Owner Alien Id information is missing when EIN/SSN is blank.

Owner (5A) Record Error Codes - (continued)		Designation of Exempt Person (9E and 9F) Record Error Codes:	
Error Code	Error Source	Error Code	Error Source
126	Owner Date of birth is missing or invalid.	901	Type of Transaction is blank or contains codes other than A or B.
128	Owner SSN/EIN is not valid according to our files.	902	Exemption Status contains codes other than A or B.
129	Owner SSN/EIN does not match our file as a valid SSN/EIN for the name shown.	903	Business or Sole Proprietor Name is blank or contains leading spaces.
130	Owner 'DBA' name is missing.	904	Exempt Person address is blank.
131	Owner "DBA" record is present without an associated owner "5A" record.	905	Exempt Person state is blank.
Note : For multiple 5A records, other than the first occurrence, the error code(s) will reflect the occurrence number in the high order digit of the error code(s) (i.e.; error 211 indicates the second 5A record has an error 111).		906	Exempt Person zip code is blank, zeroes, not numeric or invalid and Exempt Person Country Code is blank or invalid.
Error code 128 and 129 are warning messages only.		907	Exempt Person TIN is missing, invalid or not numeric.
		908	Exemption Basis is blank or contains codes other than A,B,C,D,E,or F.
		909	Effective date of the exemption is blank or invalid. A. Date not numeric B. Month not 01-12. C. Day not a valid code 01-31.
		910	Has there been a change in control of the exempt person. Exemption Basis is not E or F and A or B is in field. Or

**Designation of Exempt Person
(9E and 9F) Record Error Codes -
(continued)**

Error Code	Error Source	Error Code	Error Source
	contains codes other than A or B.		valid according to our files.
911	9F record is missing. Must have a 9F record for every 9E record.	929	Exempt Person TIN does not match our file as a valid SSN/EIN for the name shown.
912	Approving official name is blank.	Error code 928 and 929 are warning messages only.	
913	Date of Approval (signature) is blank or invalid. A. Date not numeric B. Month not 01-12. C. Day not a valid code 01-31. D. Date is not less than current date.		
914	Contact phone is blank or does not contain 10 numeric characters (3 digit area code and 7 digit number)		
915	Biennial Renewal is entered and name of approving official for biennial update is missing.		
916	Approving official for biennial updates is entered but biennial renewal is not entered.		
917	Invalid Document Control Number Returned. (CTR not updated).		
918	Contact Name is blank.		
928	Exempt Person TIN is not		